



## Semantic Analysis

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# Semantic Analysis

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# Syntax

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*“The study of the rules whereby words or other elements of sentence structure are combined to form grammatical sentences.”*

The American Heritage Dictionary



# Syntactic Analysis I

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Given an input text we need to determine its *structure*:

- how statements are linked together
- operator precedence rules
- ...

The structure is defined by mean of a *grammar*.

Syntactic analysis is performed over *words*:

- the input is a tokenized stream
- usually a lexical analyzer prepares input for the semantic analysis



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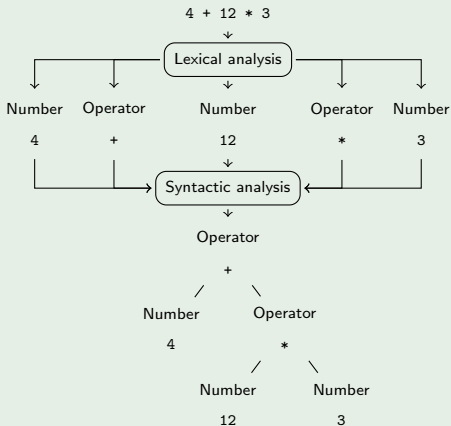
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## Structure of an algebraic expression





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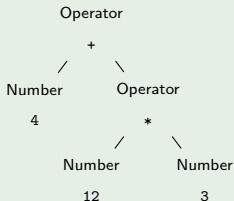
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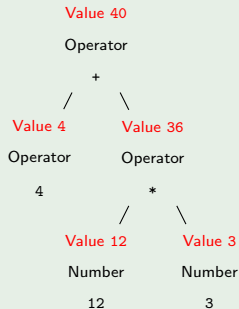
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It is the evaluation of the meaning of each (terminal and non-terminal) symbol, achieved by *decorating the Abstract Syntax Tree*:

## Syntactic analysis



## Semantic analysis





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A *parser* is a program that performs syntactic analysis.  
Typically:

- LL descending parsing, can be constructed by hand (`c-parser.c` in GCC sources) or automatically (ANTLR Java parsers generator)
- LR ascending parsing, usually too complex to be constructed manually

Common duty: **building the Abstract Syntax Tree.**



The standard tool to generate LR parsers is **bison**:

- free implementation of yacc
- strongly coupled with flex
- actually a LALR(1) parser generator

## Getting bison

Available in your distribution repositories:

**Debian** `aptitude install bison`

**Fedora** `yum install bison`



# Parser Building

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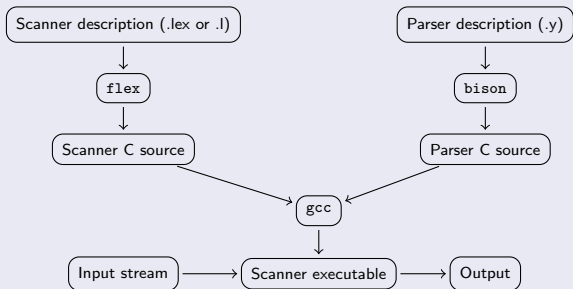
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A parser consume **tokens**:

- a scanner must produces tokens
- natural choice is flex

## Using bison and flex together





# A Simple Example

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Let's try to build a reverse polish notation calculator.

## Grammar

$$S \rightarrow E | \epsilon$$

$$E \rightarrow \text{NUMBER}$$

$$E \rightarrow EE + | EE *$$

Don't worry about terminals:

- it is a scanner duty



# The bison Input File

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The bison input file resemble the one of flex:

**C definitions** header  
inclusions, var  
declarations,  
...

**definitions** tokens,  
precedences,  
...

**grammar rules** rules and  
semantic  
actions

**user code** main and  
service  
functions

## bison input file

```
%{  
/* C definitions */  
%}  
/* Definitions */  
%%  
/* Grammar rules */  
%%  
/* User code */
```



# Do You Remember flex? I

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We must provide a scanner to bison:

- just implement the `yylex` function
- maybe better to exploit `flex`

## `scanner.l` global section

```
%option noyywrap
%{
#include "rpn.tab.h"
#define UNKNOWN -1
%}
DIGIT [0-9]
BLANK [ \n\r\t]
%%
```



# Do You Remember flex? II

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## scanner.l rules section

```
{BLANK}  
{DIGIT}+ { return NUMBER; }  
"+" { return OP_PLUS; }  
"*" { return OP_MUL; }  
. {  
    yyerror("Unknown char");  
    return UNKNOWN;  
}
```

There is no need to add extra C code:

- flex is only used to tokenize the input



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Let's start with a parser that *recognize* reverse polish notation expressions:

## rpn.y definitions section

```
%{  
#include <stdio.h>  
%}  
%token NUMBER  
%token OP_PLUS  
%token OP_MUL  
%%
```

The `%token` directive allows to define words read by the parser.





# Parser Definition II

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Syntax for grammar definition is straightforward:

### rpn.y grammar section

```
calculus: /* Empty */  
         | expression  
         ;  
expression: NUMBER  
          | expression expression OP_PLUS  
          | expression expression OP_MUL  
          ;  
%%
```



# Parser Definition III

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The last section contains:

- the error handling function `yyerror`
- the program entry point `main`

## rpn.y C code

```
int yyerror(char* msg) {
    printf("%s\n", msg);
    return 0;
}

int main(int argc, char* argv[]) {
    return yyparse();
}
```



# Compiling sources I

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From the parser (`rpn.y` file) we build:

- the parser itself (`rpn.tab.c`)
- a description of tokens (`rpn.tab.h`)

## Parser and scanner generation

```
$ bison -d rpn.y
$ flex scanner.l
```



# Compiling sources II

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To get the final executable compile and link:

Get your own polish parser

```
$ gcc rpn.tab.c lex.yy.c
```

I am lazy:

Using make <sup>1</sup>

```
YFLAGS=-d
rpn: rpn.o scanner.o
clean:
    rm -f rpn y.tab.h *.o
```

---

<sup>1</sup> Filenames are slightly different.



# Adding semantic I

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Beside each rule it is possible to add a code-block performing a semantic action:

- the semantic action is executed in the context of the associated rule

## Rules full syntax

```
lhs: rhs_1 { ... }  
    | rhs_2 { ... } rhs_3 { ... }
```

The lhs rule is an alternative:

- each alternative is independent from the other
- the first contains a semantic action
- the second contains two semantic actions



# Adding semantic II

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Semantic actions are executed just after the preceding rule.  
Given:

```
lhs: rhs { ... }
```

The parser:

- 1 recognizes rhs
- 2 executes the semantic action
- 3 recognizes lhs

The action is placed at rule tail:

- it is executed *every time* lhs is recognized



# Adding semantic III

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Given:

$$\text{lhs: rhs1 \{ \dots \} rhs2 \{ \dots \}}$$

The parser:

- 1 recognizes rhs1
- 2 executes the first semantic action
- 3 recognizes rhs2
- 4 executes the second semantic action
- 5 recognizes lhs

Semantic actions not at the tail of a rule are called *actions in the middle*.



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This is a **logical** view of semantic action execution:

- the execution of semantic actions **can be postponed** due to ambiguity





# Semantic Values I

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A variable is associated to every symbol:

- an `int` by default
- no distinction between terminal and non-terminal
- type customizable via `%union` directive <sup>2</sup>

Inside actions is possible to use these vars:

- accessed through `$n` notation
- index are 1-based
- the left-hand side semantic variable is `$$`
- counting includes semantic actions



# Semantic Values II

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## Variables enumeration

Given:

$$\text{lhs: rhs1 \{ \dots \} rhs2 \{ \dots \}}$$

We have:

Component	Variable
lhs	\$\$
rhs1	\$1
{ ... }	\$2
rhs2	\$3
{ ... }	\$4



# Semantic Values III

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Obviously inside a semantic action we can access only variables associated to preceding rules:

- rhs-vars mostly accessed in read-mode <sup>3</sup>

With an exception: the \$\$ variable:

- it is a *synthesized* attribute
- always written
- available only in the semantic action <sup>4</sup>

Default semantic action:

- { \$\$ = \$1; }

---

<sup>2</sup>More on this on next lesson.

<sup>3</sup>LALR parsing is bottom-up.

<sup>4</sup>The code block at rule tail.



# Add and Multiply I

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We must assign a semantic value to terminals:

```
scanner.l scanning naturals
```

```
{DIGIT}+ {  
            yylval = atoi(yytext);  
            return NUMBER;  
        }
```

The `yylval` variable is declared by `bison`:

- must be filled with the semantic value of the terminal



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Sums and products must be performed by the parser:

## rpn.y computing actions

```
expression :  
    NUMBER { $$ = $1; }  
    | expression expression OP_PLUS {  
        $$ = $1 + $2;  
    }  
    | expression expression OP_MUL {  
        $$ = $1 * $2;  
    }  
    ;  
%%
```



# Add and Multiply III

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At last print the expression evaluation:

rpn.y reporting action

```
calculus:  
    /* Empty */  
    | expression {  
        printf("Result: □%d\n", $1);  
    }  
    ;
```



# Ambiguity I

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Consider the grammar of infix expressions:

## Grammar

$$S \rightarrow E \mid \epsilon$$

$$E \rightarrow \text{NUMBER}$$

$$E \rightarrow E + E \mid E * E$$

It has a big problem: it is **ambiguous!**



# Ambiguity II

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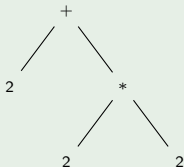
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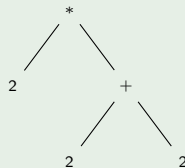
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Let's try to generate  $2 * 2 + 2$ :

Produce  $+$  first



Produce  $*$  first



The grammar ambiguity between  $+$  and  $*$  rules generates a semantic ambiguity:

- what are the  $+$  and  $*$  precedences?





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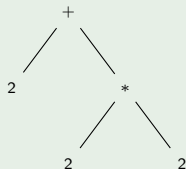
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From theory, we can rewrite the grammar in a non ambiguous form:

## Unambiguous grammar

$$\begin{aligned} S &\rightarrow E|\epsilon \\ E &\rightarrow E + T | T \\ T &\rightarrow \text{NUMBER} \\ T &\rightarrow T * \text{NUMBER} \end{aligned}$$

## Unique tree





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Since tokens are the same, we build only the parser:

**infix.y rules<sup>5</sup>**

```
expression:
    term { $$ = $1; }
    | expression OP_PLUS term {
        $$ = $1 + $3;
    }
term:
    NUMBER { $$ = $1; }
    | term OP_MUL NUMBER {
        $$ = $1 * $3;
    }
```

<sup>5</sup>Scaffolding is unchanged.



# Precedence

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Another way to handle operator precedence is to tell bison the precedence relation:

### Precedence with bison

```
%left  TOKEN_1  TOKEN_2  
%left  TOKEN_3
```

- TOKEN\_1 and TOKEN\_2 have the same precedence
- both have lower precedence than TOKEN\_3



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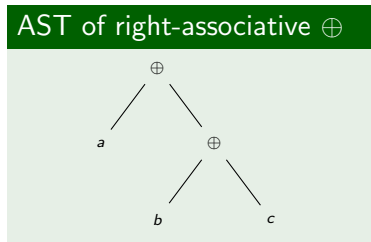
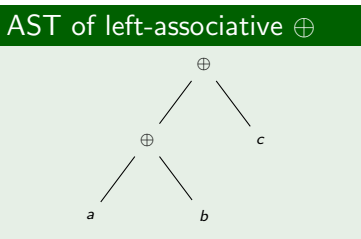
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An operator  $\oplus$  can be:

left-associative  $a \oplus b \oplus c = (a \oplus b) \oplus c$

right-associative  $a \oplus b \oplus c = a \oplus (b \oplus c)$

Associativity reflects on parsing:





# Associativity II

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Inside a `bison` file it is possible to declare the associativity of operators:

- operators are *tokens*

## `bison` directives for operators associativity

Syntax	Meaning
<code>%left TOKEN</code>	TOKEN is left-associative
<code>%right TOKEN</code>	TOKEN is right-associative



# Ambiguous Infix Calculator I

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Declaring operator precedences allows to write ambiguous rules:

## infix-ambiguous.y rules

```
expression:
    NUMBER { $$ = $1; }
    | expression OP_PLUS expression {
        $$ = $1 + $3;
    }
    | expression OP_MUL expression {
        $$ = $1 * $3;
    }
```



# Ambiguous Infix Calculator II

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Disambiguation is performed by `bison` consulting operator precedences:

### Unambiguous tokens

```
%token NUMBER
%token OP_PLUS
%token OP_MUL
```

### Ambiguous tokens

```
%token NUMBER
%left OP_PLUS
%left OP_MUL
```



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Sometimes a character has a dual meaning:

- the `-` identifies both subtraction and unary minus

First of all, let's modify the infix scanner to recognize `-`:

```
infix-scanner.l minus token
```

```
"-" { return OP_MINUS; }
```





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In the parser we introduce:

- the subtraction token `OP_MINUS`
- the unary minus `OP_UNARY_MINUS`

The latter is a *fake* token used to declare a precedence.

```
infix-minus.y minus token
```

```
%token NUMBER
%left OP_PLUS OP_MINUS
%left OP_MUL
%left OP_UNARY_MINUS
```



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In the rules section we can force the right precedence:

## infix-minus.y minus rules

```
expression :
```

```
...
```

```
| expression OP_MINUS expression {  
    $$ = $1 - $3;
```

```
}
```

```
...
```

```
| OP_MINUS expression  
%prec OP_UNARY_MINUS {
```

```
    $$ = -$2;
```

```
}
```



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Consider the grammar:

$$S \rightarrow E | \epsilon$$

$$E \rightarrow E + T | T$$

$$T \rightarrow \text{NUMBER}$$

$$T \rightarrow T * \text{NUMBER}$$

How does the parser generated by `bison` work?



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The parser seen a stream of three tokens:

- NUMBER
- OP\_PLUS
- NUMBER

These tokens are detected by flex:

- the parser do not need to handle useless chars, such as spaces



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The parser is very simple:

- it *shifts* or *reduces* rules
- a stack is used to keep track of current state

## LALR(1) parsing <sup>6</sup>

```
1: while keep_working() do  
2:   look_ahead ← read_next_token()  
3:   if known_rule_on_stack(look_ahead) then  
4:     reduce()  
5:   else  
6:     shift(look_ahead)  
7:   end if  
8: end while
```



# Parsing Expressions IV

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The *shift* and *reduce* operations modify stack state:

## Shifting

**Require:** the token to push *look\_ahead*

**Ensure:** *look\_ahead* pushed on translation stack

- 1:  $stack \leftarrow get\_translation\_stack()$
- 2:  $push(stack, look\_ahead)$

## Reducing

**Ensure:** the grammar rule *rule* on stack top popped and replaced with its left-hand side

- 1:  $stack \leftarrow get\_translation\_stack()$
- 2:  $rule \leftarrow pop(stack)$
- 3:  $push(stack, get\_lhs(rule))$

<sup>6</sup>Simplified view.

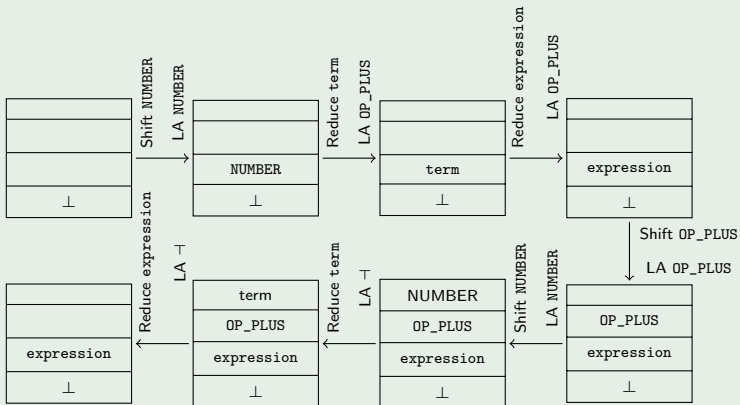


# Parsing Example

Semantic Analysis

Let's try parsing  $2 + 3$ :

## Stack transitions <sup>7</sup>



<sup>7</sup>LA stands for Look Ahead.

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Consider the ambiguous grammar:

## Grammar

$$\begin{aligned} S &\rightarrow E|\epsilon \\ E &\rightarrow \text{NUMBER} \\ E &\rightarrow E + E|E - E|E * E \end{aligned}$$

It is still usable with `bison` by declaring operator precedences:

## Operator Precedences

```
%left OP_PLUS OP_MINUS  
%left OP_MUL
```

How is this info exploited?



# Operators Handling II

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A precedence is assigned to each rule containing at least an operator:

- it is the precedence of the rule last operator

During parsing shift/reduce conflicts can occurs:

**shift** if the precedence of the look ahead symbol is higher than the one of the rule

**reduce** if the precedence of the look ahead symbol is lower than the one of the rule

If the precedences are equal, check the associativity <sup>8</sup>:

**left** reduce

**right** shift

---

<sup>8</sup>The same by construction.



# Conflicts Resolution Example

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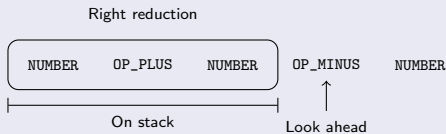
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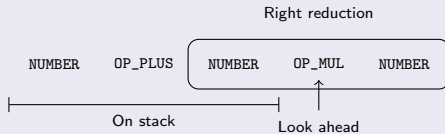
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Parsing algebraic expressions often generates conflicts:

Reduce over  $2 + 3 - 1$



Shift over  $2 + 3 * 1$





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Using `bison` requires both:

- writing the grammar
- adding semantic actions

Write the grammar first!

- try some examples
- if they are recognized, add semantic actions



# Simple Grammars

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As in coding, follow some conventions while writing grammars:

- terminals (tokens) are uppercase
- not-terminals are lowercase
- ...

This keeps the grammar readable!



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